



**REGULATIONS
FOR THE RESEARCH COUNCIL**

**&
FOR THE DEGREE OF
DOCTOR OF PHILOSOPHY (Ph.D.) 2024**
Revised as per UGC latest notification



KRISHNAGURU ADHYATMIK VISVAVIDYALAYA

NASATRA, BARPETA, ASSAM, INDIA



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Annexure A

REGULATIONS FOR THE RESEARCH COUNCIL AND FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.) 2024

1. Introduction:

To maintain minimum standard and procedure, as per UGC guidelines, and conducting research programmes leading to Ph.D. degree shall remain vested with the Research Council subject to the general approval of the Academic Council of Krishnaguru Adhyatmik Visvavidyalaya (henceforth KAV). While taking decisions, the Research Council shall follow the procedures laid down in this Ph.D. regulations. In case any major policy change is required in these Ph.D. regulations, the same shall be placed before the Academic Council for approval. The decision of the Academic Council shall be placed before the Governing Body for final approval and implementation.

2. STATUTORY BODIES AND THEIR RESPONSIBILITIES:

2.1 Governing Body (GB)

The Governing Body is the highest executive body of Krishnaguru Adhyatmik Visvavidyalaya.

2.2 Academic Council (AC)

Academic Council is the highest Academic Body of Krishnaguru Adhyatmik Visvavidyalaya and have the control and general regulation and be responsible for the maintenance of standards of instruction, education and examination within the university.

2.3 Research Council (RC)

The Research Council (RC) is the body to oversee all the research activities of the university. Further, the Research Council will look after the matters related to recognition of Ph.D. guide, Lab for Ph.D. related work and ensure smooth implementation of the guidelines of these Ph.D. regulations. Further, the Research Council will also oversee the research activities relating to DSC, D.Litt, and activities related Postdoctoral Research and research work of similar nature.

2.3.1 Composition of Research Council

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| (a) The Vice-Chancellor | - Chairperson |
| (b) One Nominee of the Chancellor | - Member |
| (c) The Deans | - Members |
| (d) The Registrar | - Member |
| (e) The Controller of Examinations | - Member |
| (f) Five (05) Professors/ Associate Professors | - Members representing each Schools to be nominated |
| (g) Director of Research/ Registrar Academic | - Member Secretary |

2.3.2 Functions of the Research Council

The Research Council shall be mainly responsible for the following functions:

- (a) It shall receive, scrutinize and approve the recommendations of the DRC/School Boards related to the research programmes.
- (b) The Research Council shall advise, observe and suggest on the functioning of the DRC on the research matters.
- (c) It shall advise, observe and suggest on the functioning of the Office of the Director of Research/ Registrar Academic.
- (d) It shall review the Policy Matters/Regulations pertaining to research leading to Ph.D. degrees.
- (e) It shall explore for promotion of innovative research by the students and faculty members and work out policy initiatives for these.
- (f) It shall work out policy initiatives for greater Industry- Academia research.
- (g) It shall explore for greater Action Research by the students and faculty members.
- (h) It shall consider applications for the award of Junior Research Fellowship (JRF) and Ph.D. scholarships offered by the University.
- (i) On behalf of the Research Council, the Chairperson of the Research Council shall examine the Reports of the Examiners of the Ph.D. Thesis and advise the Director of Research/ Registrar Academic for the necessary action under report to the Research Council.
- (j) It shall receive annual Academic Report(s) from the Principal Investigator(s) of the ongoing Research Project(s) in various departments irrespective of the sources of funding through the Director of Research/ Registrar Academic.

2.3.3 Activities of Director of Research/ Registrar Academic

The Director of Research/ Registrar Academic manages all the work of Research Department and Research Council under the direction and supervision of the Vice-Chancellor.

2.4 Departmental Research Committee (DRC):

The Departmental Research Committee (DRC) is the Research Committee of a teaching department/centre of the University. There shall be a Departmental Research Committee (DRC) constituted as below in each Department/ Centre for Studies-

- (a) Head of the Department/ Director of the Centre Chairperson*
- (b) All eligible Ph.D. Supervisors of the subject concerned - Members
- (c) Any other member may also be nominated to the DRC by the Dean of the School concerned as and when necessary.
- (d) * In case, the Head of the Department/ Director of the Centre is not an eligible Ph.D. Supervisor, the Dean of the school/ Director of Research/ Registrar Academic shall be the Chairperson of the DRC concerned. He/ She shall function until the Head of the Department/ Director of the Centre of Studies acquires eligibility to be a Supervisor.
- (e) Provided that in the case of Departments where the Head of the Department being a single eligible recognised Supervisor, at least two members for the DRC of such Departments shall be nominated by the Director of Research/ Registrar Academic from the allied Departments in consultation with the Chairperson, DRC.
- (f) Provided further that the Vice-Chancellor shall constitute appropriate DRCs for the subjects in which there is no Post Graduate teaching Department in the University.

2.4.1 Functions of the DRC :

The DRC shall consider all matters related to the research works in the subject(s) concerned. The functions of the DRC are-

- (a) It shall consider the recommendations of the Research Advisory Committees related to the registration of the Ph.D. research Scholars.
- (b) It shall convene the periodical seminars/ presentations for the Ph.D. Scholars from time to time.
- (c) It shall scrutinize the progress reports of the Ph.D. research Scholars in regular interval and recommend the same to the Director of Research/ Registrar Academic.

- (d) The DRC shall organize the Pre-submission seminars of the Ph.D. Scholars and receive the abstracts along with the panel of examiners from the RAC and recommend to the Director of Research/ Registrar Academic for further needful.
- (e) The DRC shall consider any other issues relevant to the Ph.D. works of the scholars.

2.5 Research Advisory Committee (RAC)

There shall be a Research Advisory Committee as defined in the Statutes/Ordinances of the Higher Educational Institution concerned for each Ph.D. Scholar. The Research Supervisor of the Ph.D. Scholar concerned shall be the Co-ordinator of this committee. The Committee will be constituted by the Director of Research and informed to the concerned members and the Vice-Chancellor's office.

2.5.1 Composition of Research Advisory Committee :

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|---|--------------|
| i. The Supervisor | -Coordinator |
| ii. Co-Supervisor, if any | -Member |
| iii. Two Faculty Member of the Department concerned
or qualified experts from other Institutions | -Members |

2.5.2 Functions of the Research Advisory Committee :

- I. To review the research proposal and finalize the topic of research.
- II. To guide the Ph.D. scholar in developing the study design the methodology of research and identify the course(s) that he/she may have to do.
- iii. To periodically review and assist in the progress of the research work of the Ph.D. scholar.

Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the Higher Educational Institution concerned. A copy of such recommendations shall also be provided to the Ph.D. scholar.

In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

3.1. Eligibility to be a Supervisor

An application intending to be a Ph.D. guide (or supervisor) should fulfil the following conditions :

- (a) The applicant must be a regular faculty member, a scientist or scientific officer of the academic departments of KAV or its constituent units or reputed academic and R&D institutes.
- (b) Must have at least 2 (two) research papers/ research articles in journals covered by standard citation Indices like Science Citation Index Expanded (SCI-expanded), Social Science Index (SSCI), Arts & Humanities Citation Index (A&HCI) Scopus, Web of science (WoS), Thomson Reuters, etc., published in the referred journals. If publication in such journal is not possible, at least two research articles must be published in a research journal with a peer reviewed ISSN or ISBN. Otherwise, at least two research book must be published.
- (c) Out of these, one paper must be published after attaining the Ph.D. Degree and shall have to be the corresponding author. However, in case of not fulfilling the conditions above, proportionate weightage shall be given as per UGC norms.
- (d) The faculty members superannuated from their regular service or having less than two years of regular service shall have to take co-supervisor for each of the Ph.D. scholars from among the eligible supervisors of the area concerned working in regular posts.

- (e) A faculty member of the University working in a contractual post and who fulfils the conditions above shall have to take a co-supervisor for each of the Ph.D. scholars from among the eligible supervisors of the area concerned working in regular posts.
- (f) A Scholar having Ph.D. as evidenced by extensive research work at recognised State/ Regional/ National level Institutes/ Laboratories or institutions recognized by the University as Research Centres for a period of not less than five years of which at least three years must be after obtaining the Ph.D. Degree.
- (g) Any recognition as provided here would entail that the person shall apply for recognition to the RC through Director of Research/ Registrar Academic along with relevant documents. The Research Council shall have the right to accept or reject the applications of individuals as research supervisors.

Provided further that such recognition be covered under two categories [i.e., supervisors from the Institutes having academic collaboration and unattached independent research supervisors and shall be further governed by the following requirements:

1. that the person seeking recognition as a supervisor has worked in the Research Centre/ Institute for at least five years on the date of application;
2. that the recognition is in the interest of the University and is expected to enhance and protect the required standards of research of the University through collaboration and joint research ventures.
3. that the recognition of such persons would necessarily mean that they are treated as internal examiners of the University,
4. that in case the applicant is not covered by the clauses [3 (a) to (d)] above, the applicant shall apply to the Director of Research/ Registrar Academic. The Director of Research/ Registrar Academic may refer the matter to the Research Council in case of interdisciplinary subjects before deciding on the matter.
5. A recognized Ph.D. Supervisor in the rank of a Professor, an Associate Professor and an Assistant Professor or a Supervisor from a recognized Institute/ Centre/ College of equivalent rank are expected to supervise not more than 8,6 and 4 research scholars respectively at a time.

3.2. Eligibility to be a Co-Supervisor

- (a) The faculty members of other Universities/ Institutions of repute may also be recognized as the Co-supervisor under special circumstances on recommendation of the DRC concerned.
- (b) In case of the prospective research supervisors from the Institutes of repute, with whom the University does not have an academic collaboration, the prospective supervisor shall have to submit a No Objection Certificate from his/ her own Institute issued by the competent authorities.
- (c) Apart from the above he/she shall have to comply with the eligibility criteria as prescribed in clause 3.1.(b & c).

3.3. Change of Supervisor

- (a) In case the Supervisor of a candidate leaves the University before the completion of research of the scholar, the Research Council may allow the Supervisor concerned to continue the supervision of the research work as the Co supervisor. In such cases, the DRC concerned shall take necessary steps for appointment of a Co supervisor for the Scholar for that particular research work. Further, the Supervisor, who is in long leave or on lien or leaves the University, shall continue the supervision of the ongoing research work in the capacity of co-supervisor for the said period. In such cases, the DRC concerned shall take necessary steps for appointment of another co-supervisor for that particular research work before the outgoing teacher leaves the department/ centre.
- (b) The University may replace the Supervisor or Co-Supervisor upon written application of the scholar due to inactivity or other inconvenience of the Supervisor.

- (c) The change of supervisor shall be permitted only in exceptional cases like death, state of being incapacitated due to illness, mental disabilities, removal from the job and the likes by the Research Council. In such cases, the contribution of the former/original supervisor shall be recognized/ acknowledged.

REGULATIONS FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)

4. Admission requirements

- (i) Candidates who have completed a 2-year/4-semester master's degree programme after a 3-year/6-semester bachelor's degree programme or 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed.
- (ii) Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed.
- (iii) Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a Foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme.
- (iv) A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.
- (v) All applicants seeking admission to the Doctoral programme of the University [except foreign students applying for admission as provided for under clause 6] must clear the Krishnaguru Adhyatmik Visvavidyalaya Research Admission Test (KAVRAT), (See Annexure I*). Candidates with M. Phil degree (regular mode) and NET-JRF/SET/SLET qualified candidates are exempted from appearing in the (KAVRAT). The KAVRAT is to be conducted annually by the University depending on the number of applicants and student intake. The mode of conducting the KAVRAT shall be decided by the Research Council time to time.
- (vi) Under special circumstances, a candidate may be allowed by the Research Council to undertake research in a subject other than one in which he/she has obtained Master Degree. Before permitting the candidate to do so, the Council shall satisfy itself that the branches are inter-related and/or the candidate is competent to undertake research in the particular subject as evidenced by published/practical works.

5. Foreign Students

- (i) All foreign candidates intending to pursue the Ph.D. Programme of Krishnaguru Adhyatmik Visvavidyalaya shall be exempted from KAVRAT. However, the university shall conduct appropriate Entrance Test case to case basis to assess the Research Aptitude of the candidate concerned. All other matters related to the foreign candidates shall be governed by the KAV Rules for Foreign Students.
- (ii) The applicant should fulfil the minimum eligibility requirements as prescribed in the Rules for the Foreign Students. It shall be the responsibility of the applicant to provide a certified grade

conversion formula along with the application from an officer not below the rank of Registrar of a recognized University];

- (iii) The applicant possesses valid research/student visa and other relevant documents including a Medical certificate from appropriate authority for the prescribed duration of the concerned course at the time of admission;
- (iv) The antecedents of the candidate be verified by the forwarding authority/ministry/institution and, if found to be in order, a certificate be issued to the effect thereof;
- (v) The candidate should have adequate knowledge of English or the language subject in case the course is to be pursued in a language subject [it would be the responsibility of the DRC to satisfy itself on the candidate's knowledge of English or the language as required];
- (vi) The degrees and certificates of the candidate's country or institution or university have been approved by the Association of Indian Universities (AIU)/Association of Commonwealth Universities (ACU) as equivalent to the corresponding Indian degrees;
- (vii) All foreign students admitted into the programme shall be full-time Ph.D. scholars. Minimum residential requirement for the foreign students is of two years.

6. Transferred Students

Female students on transfer can get one year exemption from the total duration of the PhD Programme. This means that the thesis can be submitted within two years from the date of admission to the University. However, she must have completed a course work programme at the previous University or be enrolled more than two years ago. Students who have not completed their course work programme are required to sit for the course work examination..

7. Course Work

- (i) After getting admitted, a student shall have to undertake a Six-Month Pre-Registration Course Work in the Department/Centre, which will include quantitative methods, computer applications, review of literature, etc.
- (ii) The credit requirement for the Ph.D. course work is a minimum of 12-14 (+1) credits.
- (iii) The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
- (iv) All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching/ education/ pedagogy/ writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/ research assistantship for conducting tutorial or laboratory work and evaluations.
- (v) A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.
- (vi) The structure of the course work shall be as per the provisions in Annexure II.
- (vii) Provided, in exceptional cases, as approved by the Research Council, course work may be carried out in the institutes/organizations recognized by the University as research centres either within or outside the University campus for which due credit shall be given to them. The Research Council may also allow the Research Centres to conduct the end semester examinations. Provided further that the end-semester examination shall be conducted only in the University.

8. Registration

- (i) After successful completion of the course work, a candidate shall submit a synopsis of the proposed research work in triplicate to the Research Advisory Committee concerned through his/her supervisor within one year from the date of declaration of the result of the Course Work.

The candidate may need to give a presentation of the synopsis before the Research Advisory Committee.

- (ii) The Research Advisory Committee shall scrutinize the research proposal of the candidate and suggest for modification if any. The Research Advisory Committee shall also recommend the proposals for consideration of the DRC concerned.
- (iii) The Chairperson, DRC shall forward the applications of the candidates for Ph.D. Registration along with its recommendations to the Dean of the School concerned/Director of Research/Registrar Academic. The proposals for registration should contain the following documents-
 - a) Duly filled in and duly forwarded Application Forms
 - b) Recommendation of the Research Advisory Committee
 - c) Recommendation of the DRC with proposed date of effect.
- (iv) The candidates from other Universities/ Institutes must submit Krishnaguru Adhyatmik Visvavidyalaya Registration Certificate to the Director of Research/ Registrar Academic within one year from the date of admission.

9. Registration without Supervisor

- (a) The University may allow a candidate to pursue Ph.D. Research without a supervisor under very special circumstances and may confer the Ph.D. degree on the basis of outstanding research publications of the doctoral research.
- (b) The candidate intending to pursue Ph.D. Research without Supervisor shall apply to the Chairperson, Research Council after completion of his/her Course Work. If satisfied with the proposal, the Chairperson, Research Council shall constitute a Research Advisory Committee for such candidate with the following members-
 - i) The Chairperson, Research Council - Chairperson
 - ii) The Dean of the School concerned - Member
 - iii) The Head of the Department concerned - Member
 - iv) Two expert members of the relevant area - Members
 - v) The Director of Research/ Registrar Academic - Convenor
- (c) The RAC constituted under clause 2(5) shall perform its functions as per clause 2(5.2) of these regulations.
- (d) The candidate shall have to present his/her research proposal/ synopsis before the RAC. Provided that the RAC is satisfied with the proposal, the matter shall be recommended to the DRC concerned for further necessary action.
- (e) The Research Scholar registered under clause 8(i) shall submit his/ her progress reports and shall maintain all correspondence regarding his/ her research to the Research Council through the Chairperson of the RAC concerned.

10. Post Doctoral Degree

- (a) The degree of D.Litt. or D.Sc. or LL.D. shall be opened to those who obtained a Ph.D. degree and have complete five years of research experience from the effective date of Ph.D. award notification.
- (b) The candidate for Post Doctoral Degree Registration must be a scholar of repute and must have at least ten research papers published in peer reviewed journals. He/She must have completed five years of teaching and/ or research experience in an Academic/ Research Institute, or have five years of professional experience. Out of these ten publications, at least five publications must be beyond his/her Ph.D. work.
- (c) Post Doctoral Degree is open to all under 65 years of age.
- (d) The maximum duration of the Post Doctoral is three years. Research can be submitted within one year from admission through the University's prescribed process.

- (e) There is no entrance examination for admission to Post Doctoral Degree Programme. Admission can be obtained by applying to the Vice-Chancellor through the Director of Research/Registrar Academic and subject to the approval of the Research Council.
- (f) There is no Course Work Programme for Post Doctoral Degree.

11. Periodical Report

- (i) Registered scholars shall submit a progress report on every six months from the date of registration to the Research Advisory Committee in duplicate. The RAC will review the Progress Reports and forward the same along with recommendations of the RAC to the Director of Research for consideration.
- (ii) The submission of six-monthly Progress Report on every six-month shall be a regular procedure for all research scholars; every scholar shall have to submit it positively at the end of every six months. Non-submission of progress report beyond a period of one year may lead to cancellation of his/her registration.
- (iii) The Progress Reports will be reviewed by the RAC taking note of the opinion of the Supervisor's from time to time. If at any stage, it is found that a candidate is not making satisfactory progress, his/her registration may be recommended to the Research Council for cancellation.

12. Language

In all subjects, the thesis shall be written in English. However, in the language subjects, the thesis may be written in English or in the language concerned as deemed appropriate by the Department concerned.

13. Modification of Title

A scholar may be allowed by the School Board/RAC / DRC to modify the title, provided it does not alter the basic thrust or contention of the research work.

14. Change of Topic

A scholar may be allowed by the RAC/DRC/ School Board to change the topic of his/ her ongoing research. In such cases, the RAC/DRC may ask the candidate to submit fresh synopsis on the changed topic.

15. Period of Registration

A scholar registered for Ph.D. degree may submit the thesis on completion of three years of research work from the date of admission. Provided that if a candidate fails to submit the thesis within five years from the date of admission, he/she may apply for re-registration. In such cases, the thesis shall be submitted not later than two years from the date of re-registration failing which his/her registration shall stand cancelled.

16. Extension of Registration

- (i) The RC on recommendation of the DRC concerned may allow a scholar for extension of the registration period for maximum two years on expiry of the five year term of admission.
- (ii) In the cases of extension of registration, the scholar shall have to pay the prescribed Extension of Registration fee.
- (iii) The scholar, whose registration period is extended shall have to submit his/ her thesis within two years from the date of Extension of Registration failing which his/her registration shall stand cancelled.

17. Prerequisites for submission of Thesis

- (i) Every registered scholar shall present *at least two papers* during the tenure of his/her research at Seminars organized by the DRC concerned or any national symposium.
- (ii) Every scholar shall have to publish at least two research paper (one of those two on his/her research work) in a referred journal before submission of the thesis and produce evidence to that effect in the form of re-print or acceptance letter.

- (iii) Prior to submission of the abstracts of the thesis, the scholar shall make a seminar presentation in the department/centre based on the findings of his/her research work and that shall be open to all faculty members and students for getting feedbacks and comments, which may be suitably incorporated into the thesis under the advice of the supervisor.

18. Anti-Plagiarism Measure

- (a) The scholar must check his/ her draft of thesis by anti-plagiarism software approved by the UGC-INFLIBNET Centre and shall have to produce two Certificates in relation to plagiarism as follows :
 - (1) Certificate of Originality by the Scholar
 - (2) Student Approval Form by the Scholar
- (b) Plagiarism percentage not above 10%. However, in extraordinary circumstances, the decision of the Research Council shall be final in this regard.

19. Submission of Abstracts of Thesis

- (i) On completion of the research work, the scholar shall write to the Director of Research/ Registrar Academic seeking permission to submit the thesis along with the quadruplicate copies of the abstracts of the thesis. The Director of Research/ Registrar Academic shall place the application before the RC.
- (ii) The Co-ordinator of RAC/ The Chairperson of the DRC concerned shall arrange for presentation of the Abstract submission seminar in the department/ centre of studies concerned. On satisfactory presentation of the findings the RAC shall forward the quadruplicate copies of the abstracts of the thesis to the RC through the Director of Research, provided the scholar fulfils all the requirements as mentioned in Clause 17.
- (iii) Abstracts must be examined by a panel of experts prepared by the Director of Research/ Registrar Academic in consultation with the supervisor. Therefore, the Director of Research/ Registrar Academic, with the approval of the Vice Chancellor, will allow 3 experts out of 6 experts from the panel list to be examined for this.
- (iv) After the report of the panel expert reaches the Director of Research/ Registrar Academic, the approval of Research Council must be obtained.

20. Submission of Thesis

- (i) The scholar shall submit five printed copies (six copies in case the work is done under co-supervision) of Ph.D. thesis within four (4) months from the date of submission of the abstracts or the date of expiry of the period of registration/ extension of registration (whichever is earlier) in the prescribed format along with requisite amount of fees. Provided that in case of a scholar registered under clause 9, the scholar shall attach a self-declaration stating that his/ her work is original and is in compliance with the Regulations concerned. It shall also be certified by the Director of Research/ Registrar Academic mentioning that the Work has been done independently by the scholar along with other relevant declarations.
- (ii) On verification of records, the Director of Research/ Registrar Academic shall issue necessary instruction to the scholar to submit the thesis to the University. The Director of Research/ Registrar Academic will send the thesis to the Examiners approved by the Vice-Chancellor along with a recommendation proforma. .

21. Appointment

- (i) The Vice-Chancellor shall appoint two examiners, at least one of these two examiners from a central University or a University run by the Government.
- (ii) The Director of Research/ Registrar Academic shall send the Abstracts to the external examiners as approved by the Vice Chancellor as a first step towards the examination of the thesis.

22. Examination

The thesis shall be examined in two parts:

22.1.1 Written reports by the Examiners on the thesis submitted.

While giving detailed comments on the thesis, the Examiner shall recommend that in his/her opinion— either, the thesis be accepted, (This opinion will be given on the basis of the thesis Examiner's detailed report and it must not contradict the detailed report.)

or, the thesis be revised and resubmitted.

(In this case, the examiner shall indicate the lines along which the thesis should be revised. For such revision, the candidate shall be provided with the reports of the examiner/examiners who have recommended revision of the thesis.)

or, the thesis be rejected.

[In this case, reasons for rejection will be given by the Examiner(s).]

The Examiners shall also state whether the thesis is worthy of publication or not. If not, the reasons will be clearly stated by the Examiner(s).

The recommendation, however, is necessary only for publication of the thesis. In case the thesis is not recommended for publication, this will not have any bearing on the award of the degree.

22.1.2 In case one examiner suggests resubmission while the other examiners accept the thesis, the Research Council may ask the candidate to resubmit the thesis along with a prescribed fee after six months in the light of the suggestions made by the Examiner concerned. The revised thesis will be referred to the examiner who had sought for revision.

22.1.3 If one of the examiners recommends rejection, then the thesis shall be referred to a Third External Examiner from the Panel. In the case, the candidate will have to pay a prescribed fee. If this examiner also recommends rejection, the thesis shall be rejected.

22.1.4 The Director of Research/ Registrar Academic shall place the Reports of the Ph.D. Thesis before the Chairperson of the Research Council in confidential. The Chairperson on behalf of the Research Council shall examine reports of the Ph.D. Thesis and suggest the Director of Research/ Registrar Academic for further action accordingly.

However, the Director of Research/ Registrar Academic shall place a consolidated report on the theses examined before the next meeting of the Research Council for ratification.

22.2 Viva-Voce Examination

22.2.1 A Board of Examiners to conduct Viva-Voce Examination shall be constituted as below-

- (a) The Vice Chancellor, who shall Chair the Viva Voce Exam.
- (b) The Director of Research/ Registrar Academic.
- (c) The Supervisor/ Co-supervisor of the thesis.
- (d) One External Examiner of the thesis to be nominated by the Vice Chancellor.

22.2.2 Provided that in case of a scholar registered under clause 9, the Board of Examiners to conduct Viva-Voce Examination of the scholar shall be constituted as below-

- (a) The Vice Chancellor, who shall Chair the Viva Voce Exam.
- (b) The Director of Research/ Registrar Academic.
- (c) One External Examiner of the thesis to be nominated by the Vice Chancellor.

22.2.3 During the Viva-Voce, scholars must defend the questions of the scholarly audience.

22.2.4 The Viva-Voce Board of Examiners so formed shall have at least two members, one of whom must be an external examiner of the thesis. Under an exceptional situation some other Expert from the Panel of Examiners may be invited by the Vice-Chancellor in lieu of the External Examiner.

Provided that the Viva-Voce shall be open, and the examiner's report shall be read only by the members of the Board of Examiners and the Viva-Voce report shall be prepared only by the Board of Examiners for conducting the Viva-Voce.

22.2.5 In the event of absence of unanimity amongst the members of the Viva-Voce Board with regard to the award of the Ph.D. degree, the majority decision shall prevail.

22.2.6 Provided that in case of rejection by the majority, the candidate shall be required to appear again for the Viva-Voce after three months but preferably not later than six months.

23. Result

- (i) The Academic Council on the recommendation of the Board of Examiners of the Viva-Voce Test shall confer the degree of Doctor of Philosophy (Ph.D.) and shall cause the candidate's name to be published immediately with the title of the thesis, name of the Supervisor and the subject to which the thesis belongs.
- (ii) The scholar shall submit one hard bound copy of the thesis along with two soft copies (in CD form) to the Director of Research/ Registrar Academic after incorporating corrections, modifications, etc. as suggested by the experts within one month from the viva-voce examination, which to be duly certified by the supervisor concerned.

24. Issue of Certificates

- (i) A Provisional Certificate shall be issued to the successful scholars certifying that the Degree has been awarded in accordance with the provision to the Regulations of UGC, 2009 after submission of one hard bound copy of the thesis along with the two soft copies (in CD form) to the Director of Research/ Registrar Academic.
- (ii) Original Certificate shall be issued to the successful candidates only after the Convocation.

- 25. (i) After the award of the degree, one copy of the thesis shall be preserved in the University Library duly corrected if necessary, by the candidate in the light of the reports of the Examiners and certified as such by the Supervisor and Chairperson of the DRC concerned.
- (ii) After the award of the Degree, the Director of Research shall submit a soft copy of the thesis to the UGC within a period of thirty days for hosting the same in the INFLIBNET.

26. Publication of the Thesis

Thesis accepted by the University must not be published without prior permission of the Vice Chancellor through Director of Research of the University. The scholar shall get the permission from the University for publication of the thesis, provided at least two of the examiners recommended for publication.

27. Candidates Access to the Report

Copy of the Reports of the Examiners will be made available to the candidates on request and on payment of a prescribed fee.

28. General

Matters not covered by the above clauses, shall be decided as and when necessary by the Research Council/Academic Council/ Governing Body.

**GUIDELINES FOR
KRISHNAGURU ADHYATMIK VISVAVIDYALAYA
RESEARCH ADMISSION TEST (KAVRAT)**

1. The Krishnaguru Adhyatmik Visvavidyalaya Research Admission Test (KAVRAT) shall be conducted by a Board, to be called KAVRAT Board, constituted by the Vice-Chancellor for a period of three years. The Vice Chancellor and the Director of Research/ Registrar Academic shall be the Chairperson and Member Secretary respectively.
2. KAVRAT would be conducted annually, generally in the month of September. The final schedule of the Test would be notified in advance by the Office of the Director of Research/ Registrar Academic, Krishnaguru Adhyatmik Visvavidyalaya
3. The Application procedure of the KAVRAT including the Schedule is prescribed in the Detailed Notification.
4. KAVRAT shall be conducted to select eligible candidates for admission to the Ph.D. programmes of Krishnaguru Adhyatmik Visvavidyalaya. The number of seats available in the Subject concerned shall be ascertained by the Departmental Research Committee concerned.
5. A candidate intending to appear in the KAVRAT shall be required to obtain at least **55% marks OR equivalent grade point in the Masters degree**, in which he/ she intends to appear in the KAVRAT.
6. Candidates belonging to the reserved categories of SC/ST/ OBC (Non-Creamy Layer), Economically Weaker Section (EWS) and the Differently Abled Persons, shall have 5% relaxation in the marks or an equivalent relaxation of grade in the qualifying degree.
7. The KAVRAT shall be conducted in a single paper which shall comprise of two parts- (a) 50% on Research Methodology/ Research Aptitude (Group A) and (b) 50% from Core Course Components of the subject concerned (Group B). The paper shall carry 100 marks and the duration of examination shall be of two and half hours.

The pattern of the Question Papers shall be as below-

- i. Type Objective Multiple Choice = 50% from Group A and 50% from Group B.
- ii. Descriptive Type= 50% from Group A and 50% from Group B.
8. Students who have secured 50% marks in the entrance test are eligible to be called for interview.
9. Provided that for the selection of candidates based on the entrance test conducted by the KAV, a weightage of 70% for the entrance test and 30% for the performance in the interview/viva-voce shall be given..
10. The KAVRAT score shall be valid for the current academic session only.
11. Any matter not covered above shall be considered in compliance with the Krishnaguru Adhyatmik Visvavidyalaya Examination Ordinance (amended upto date).

COURSE STRUCTURE & EXAMINATION PATTERN OF THE Ph.D. PROGRAMMES

- There shall be four Courses in One-Semester Course work for the Ph.D. programmes conducted in KAV comprising with the following components:

Course I : Research Methodology (Core)

Course II : Core (Intra/ Inter-Departmental)

Course III : Optional (Intra/ Inter-Departmental)

Course IV : Research and Publication Ethics (Core)

Course V : Term Paper/Assignment (under guidance of the prospective Supervisor concerned)

Course VI : Krishnaguru Studies (Non Credit Compulsory Course)

Provided that, the total credit of the Ph.D. Pre-Registration Course Work should be within the range of 14(+1) Credit.

- The syllabi for the Ph.D. Course Work shall be prepared by the Centre for Research & DRC.
- The distribution of marks of the course work shall be as below:

Courses	Weightage	Internal Assessment	End Semester examination	Total Weightage
Course I	40+60	40%	60%	100%
Course II	40+60	40%	60%	100%
Course III	40+60	40%	60%	100%
Course IV	20+30	40%	60%	100%
Course V	50	100%	—	100%
Course VI	50	—	100%	—

Total Credit 14(+1)

- Candidates shall have to secure a minimum of 55% marks in aggregate to pass a paper individually.
- The mode of Internal Assessment (IA) shall be decided and implemented by the Department/Centre concerned. The mode of IA shall have to be communicated to the Director of Research, KAV at the time of submission of IA marks.
- A grade sheet will be issued for the course work done. In course work for Ph.D. , (i) required attendance is 75% and (ii) the pass percentage is 55% or a CGPA of 6.0.
- In the Ph.D. course work, the Results and Grade sheets will only carry Grades.

Grading for Ph.D. courses is as follows :

80 < 100	A+
75 < 80	A
65 < 75	B+
60 < 65	B
55 < 60	C
Below 55	F

A student obtaining Grade F shall be considered failed and will be required to reappear in the examination.

- Every candidate shall be given a maximum of two consecutive chances (including the first regular chance) for passing the examination. Not appearing in an examination after becoming eligible to appear in the same amounts to losing a chance.
- The candidates who have failed the examination in the first chance shall have to clear the same in the second and last chance, which shall be held within three months from the date of declaration of results.

A candidate shall have to appear in the second chance only in the failed paper(s) to pass the examination.

- The candidates passed in the Ph.D. Pre-registration Course Work with not below the Latter Grade C shall be eligible to go for Ph.D. registration.
- Matters not covered by the above Regulations shall be decided as per the other statutory provisions of the University.

ANNEXURE -III



KRISHNAGURU ADHYATMIK VISVAVIDYALAYA

(Recognized Under Section 2(f) of UGC Act. 1956)

NASATRA, BARPETA – 781307 (ASSAM)

Synopsis Evaluation Report

1	Name of the Student	:	
2	Enrolment Number	:	
3	Date of the Synopsis Seminar	:	
4	Topic of Research	:	
5	Department		
6	Literature Review/ Survey	:	Satisfactory / Not Satisfactory
7	Research Problem Formulated	:	Yes / No
8	Brief Comments regarding the main content & structure of the Synopsis : (If space is not sufficient, please write it on a separate sheet & attach)		
9	Overall Performance of the Student in the Synopsis Seminar	Satisfactory / Not Satisfactory*	
	*Note : If the overall performance is not satisfactory, then the student has to present Synopsis Seminar again within a month		
	Name & Signature of Research Advisory Committee Members :		
Signature & Name	Member	Member	
Signature & Name	Invited Member/Expert (if any)	Supervisor & Co-ordinator, RAC	
Remarks, if any			Approved
Date :			Director of Research



ANNEXURE –IV

KRISHNAGURU ADHYATMIK VISVAVIDYALAYA

(Recognized Under Section 2(f) of UGC Act. 1956)

NASATRA, BARPETA – 781307 (ASSAM)

Report on Bi-Annual Academic Progress

Name of Scholar	:		
Enrollment No	:		
Date of Admission	:		
Academic Department	:		
Name of Supervisor	:		
Topic of Research :			
Date of Completion of the Synopsis Seminar :			
Date of Last Academic Progress Review Submission			
Assessment Period of Academic Progress	From : Month & Year	To : Month & Year	
Date of Registration :			
Date of Re-Registration (if applicable) :			
During the Assessment Period, Number of Seminars / Conferences / Workshop attended : (Enclose the list and attach supporting documents)			
During the Assessment Period, Number of Research Articles Published / Submitted for Publication from the Ph.D Research Work undertaken : (Enclose the list)			
Brief comments on the Academic Progress of the Student during Assessment Period: (If the space is not sufficient, please write it in a separate sheet & attach)			
Date :		Signature of the PhD Scholar	

Opinion of the Supervisor on the progress made by the scholar :		
Date :		Signature of the Supervisor
Verified and recommended by the <i>Research Advisory Committee (RAC)</i> in its meeting held on _____		
Opinion of the Research Advisory Committee :		
Overall Academic Progress during the Assessment Period :		Satisfactory / Unsatisfactory
Name & Signature of Research Advisory Committee Members :		
Name & Signature	Member	Member
	Co-Supervisor (if any)	Co-ordinating Supervisor/Chairperson
Total fees paid till date: (Mandatory)*		Approved
Date : Accounts Branch		Date : Director of Research



KRISHNAGURU ADHYATMIK VISVAVIDYALAYA

(Recognized Under Section 2(f) of UGC Act. 1956)

NASATRA, BARPETA – 781307 (ASSAM)

Department of.....

Date : _____

Certificate of Originality

The research work embodied in this thesis entitled “ _____ ”
has been carried out by me at the Department of _____,
Krishnaguru Adhyatmik Visvavidyalaya, Nasatra, Assam, India. The
manuscript has been subjected to plagiarism check by _____
software. The work submitted for consideration of award of Ph.D. is
original.

**Name and Signature
of the Candidate**

ANNEXURE -VI



KRISHNAGURU ADHYATMIK VISVAVIDYALAYA

(Recognized Under Section 2(f) of UGC Act. 1956)

NASATRA, BARPETA – 781307 (ASSAM)

Department of.....

Date: _____

Scholar Approval Form

Name of the Author:

Department :

Degree :

University :

Guide :

Thesis Title :

Year of Submission :

Agreement

1. I hereby certify that, if appropriate, I have obtained and attached hereto a written permission/statement from the owner(s) of each third party copyrighted matter to be included in my thesis/dissertation.
2. I hereby grant to Dibrugarh University and its agents the non-exclusive license to archive and make accessible my thesis/dissertation, in whole or in part in all forms of media, now or hereafter known. I retain all other ownership rights to the copyright of the thesis/dissertation. I also retain the right to use in future works (such as articles or books) all or part of this thesis, dissertation, or project report.

Signature of the Scholar

Place: _____

Date:

Signature and seal of the Guide

Place: _____

Date:

ANNEXURE -VII



KRISHNAGURU ADHYATMIK VISVAVIDYALAYA

(Recognized Under Section 2(f) of UGC Act. 1956)

NASATRA, BARPETA – 781307 (ASSAM)

Department of.....

Date: _____

Self-Plagiarism Exclusion Certificate from Supervisor

The content of the chapters of the thesis entitled.....have been published as mentioned below:

Sl. No.| Title of the Article/Chapter| Name of the Journal/Book| Publisher |Vol. & Issue No./ISSN/ISBN

- 1
- 2
- 3
- 4
- 5

These published works have been included in the thesis and have not been submitted for any degree to any University/Institute.

Signature of the Candidate

Place: _____

Date: _____

Signature of Supervisor

Place: _____

Date: _____

ANNEXURE -VIII



KRISHNAGURU ADHYATMIK VISVAVIDYALAYA

(Recognized Under Section 2(f) of UGC Act. 1956)

NASATRA, BARPETA – 781307 (ASSAM)

Department of.....

Date: _____

Self Plagiarism Co-authors Certificate

We have published the following articles/chapters jointly:

Sl. No.| Title of the Article/Chapter| Name of the Journal/Book| Publisher |Vol. & Issue No./ISSN/ISBN

1

2

3

4

5

We give our consent to Mr./Ms.to make use of these articles for his / her Ph. D. research.

The above research paper (s) has not been used by any of us for any Degree / Diploma in any other University.

We shall be responsible for any legal dispute/ case(s) for violation of any provisions of the Copy Right Act.

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Address.....

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Phone.....

Signature of Candidate

Name

Registration No.

Signature of Co-author

Name

Address

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Phone.....

Signature of Co-author

Name

Address

.....

Phone.....

ANNEXURE -IX



KRISHNAGURU ADHYATMIK VISVAVIDYALAYA

(Recognized Under Section 2(f) of UGC Act. 1956)

NASATRA, BARPETA – 781307 (ASSAM)

Krishnaguru Adhyatmik Visvavidyalaya Central Library, KAV, Nasatra

Date: _____

Plagiarism Verification

Title of the Thesis.....
.....Total Page.....
Researcher.....
Supervisor.....
Department.....
Institution.....

This is to report that the above thesis was scanned for similarity detection. Process and outcome is given below:

Software used.....Date.....
Similarity Index Total word count
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Date:
Place:

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Librarian & University Coordinator

The complete report of the above thesis has been reviewed by the undersigned. (Tick Check Box)

The similarity index is below accepted norms.

The similarity index is above accepted norms, because of the following reasons:

- 1.....
- 2.....
- 3.....
- 4.....
- 5.....

The thesis may be considered for the award of degree. (Relevant documents attached).

Signature of the Student

Signature of the Supervisor